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ADMINISTRATIVE AND BUDGETARY ASPECTS OF THE FINANCING OF THE UNITED NATIONS PEACEKEEPING OPERATIONS: FINANCING OF THE UNITED NATIONS PEACEKEEPING OPERATIONS

Support account for peacekeeping operations

Report of the Advisory Committee on Administrative and Budgetary Questions

1. The Advisory Committee on Administrative and Budgetary Questions has considered the report of the Secretary-General on the support account for peacekeeping operations (A/51/890). During its consideration of the report, the Committee met with representatives of the Secretary-General who provided additional information.

2. The report of the Secretary-General contains a budget proposal for the estimated resource requirements of $35.1 million net of staff assessment for the 12-month period from 1 July 1997 to 30 June 1998 to be funded from the support account. These requirements reflect an increase of $4.6 million, compared to the approved level of $30.5 million net of staff assessment for the current period, and provide for a staffing establishment of 364 temporary posts, a net increase of 19 posts compared to the staffing level approved for the current period. At the same time, the Committee notes a decrease in regular budget posts from 762 to 718 (see A/51/890, table 3). In a number of instances, it appears to the Committee that the proposed reduction under the regular budget is being offset by an increase under the support account.

General

3. The introduction to the Secretary-General's report (paras. 1-9), contains background information on major developments relating to the operation of the support account since its establishment effective 1 January 1990. As mentioned in paragraph 2 of the report, the support account was originally funded by the inclusion in the budget of each peacekeeping mission of an amount equal to

8.5 per cent of the cost of the civilian staff component of that mission. However, in his report of 29 February 1996 (A/50/876), the Secretary-General proposed that the funding mechanism of the support account be changed effective 1 July 1996.

4. The General Assembly, in paragraph 3 of its resolution 50/221 B of 7 June 1996, approved, on a provisional basis and for the period from 1 July 1996 to 30 June 1997, the proposals of the Secretary-General with regard to the funding mechanism as amended by the Advisory Committee in paragraphs 35 to 37 and annex II to its report (A/50/897). In paragraph 10 of that resolution, the Assembly decided to review the operation of the funding mechanism, taking into account past experience and the decline in the level of peacekeeping activities, on the understanding that, unless otherwise decided, the funding mechanism set out in paragraphs 3 to 5 of its resolution 49/250 would be restored as from 1 July 1997.

5. As reflected in paragraph 4 of his report (A/51/890), the Secretary-General recommends that the General Assembly confirm the new funding mechanism it provisionally approved in resolution 50/221 B. In the view of the Secretary-General, hypothetical application of the old 8.5 per cent formula for the period from 1 July 1997 to 30 June 1998 would generate, as indicated in paragraph 21 of his report, support account income projected at some $18.6 million, far short of the estimated resource requirements of $35.1 million for the period. In this connection, the Committee was informed that the operational reserves for peacekeeping operations had been practically exhausted. Taking into account the data reflected in the note by the Secretary-General of 18 May 1997 (A/C.5/51/48), the estimated support account requirements of $35.1 million for the period from 1 July 1997 to 30 June 1998 represent approximately 11 per cent of the total estimated cost for civilian personnel in peacekeeping operations of $315.6 million for the same period.

6. The Committee recalls its observations and recommendations on the mode of financing of the support account reflected in paragraphs 35 to 37 of its previous report (A/50/897). The General Assembly, in its resolution 50/221 B, approved on a provisional basis and for the period from 1 July 1996 to 30 June 1997 the proposals with regard to the funding mechanism as amended by the Advisory Committee in those paragraphs.

7. Section V (paras. 25-34) of the Secretary-General's report deals with proposed support account resource requirements estimated at $35.1 million net of staff assessment for the period from 1 July 1997 to 30 June 1998; the Secretary-General estimates the overall cost of the backstopping of peacekeeping operations at Headquarters at approximately $50 million (para. 26), of which $14.9 million relates to the indicative dollar value of 140 gratis personnel on loan from Member States. The Committee recalls that the General Assembly, in its resolution 50/221 B, requested the Secretary-General, in preparing his annual proposals for the support account, and taking into consideration the temporary nature of the current level of resources, to review and substantiate comprehensively the entire post and non-post requirements for the support account (para. 7); and also requested him, in preparing his report on the support account for the period from 1 July 1997 to 30 June 1998, to submit a comprehensive proposal on the total requirement for human resources from all

sources of funding for the backstopping of peacekeeping operations, including posts financed from the regular budget and trust funds, officers on loan from Member States and other voluntary contributions during the period from 1 July 1996 to 30 June 1997, to enable the Assembly to decide on the level of human resources required, including whether such posts should continue to be financed by means other than through assessed contributions (para. 8).

8. It should be noted that the overall cost of backstopping of peacekeeping operations is, in fact, higher than the Secretary-General's estimate of $50 million, since it does not include all the backstopping that is provided under the regular budget (see para. 15 below), in particular the cost of support services provided to gratis personnel (office equipment, utilities, supplies, etc.). The Committee observes that an indicative estimation of such costs is discussed in the Secretary-General's report (A/51/688 and Corr.1). The related comments and observations of the Committee are contained in document A/51/813. The Committee believes that, in accordance with General Assembly resolution 50/221 B and the principle of full-cost budgeting, the budget submission for the support account should include, under the expenditure part, the estimated cost (salaries, common staff costs, travel, support services, etc.) of all gratis personnel contributed by Member States on a non-reimbursable basis for backstopping functions, the estimated cost of all personnel funded from voluntary contributions and the estimated cost of support services related to both categories of personnel. These would be offset completely, or in part, by the estimated value of both categories of personnel, to be reflected under the income part of the budget submission. All estimated requirements reflected under the expenditure part should be broken down by organizational unit, budget line and source of funding, and explained and justified in full.

9. The Committee notes from paragraph 30 of the report (A/51/890) that, in drafting his support account-funded staffing establishment proposals, the Secretary-General has taken into account the impact of budgetary fluctuations on the support account as well as lessons learned from the previous year of operation. Tables 5 and 6 and figures 1 and 2 contain data from 1990 to 1997, on the basis of which the Secretary-General concludes (para. 31) that no decrease is expected during the coming period in the workload of the Department for Peacekeeping Operations, and of the Department of Administration and Management units and all other units involved, in respect of the backstopping of peacekeeping operations at Headquarters.

10. Furthermore, the Secretary-General indicates (paras. 32 and 33) that the volume and complexity of the work of the Department of Peacekeeping Operations and the Department of Administration and Management units involved (Peacekeeping Financing and Accounts Divisions) will rather increase following the recent and forthcoming completion of some missions; the calculation and processing of assessed contributions of Member States has become more complex and onerous as a result of implementing recent General Assembly decisions with regard to the application of transitional assessment rates for some Member States as well as the treatment of credits to Member States in respect of unencumbered balances; the severity of the workload demands on the Accounts Division is demonstrated by the delays that have been encountered in the timely production of monthly expenditure reports, closure of accounts and production of financial statements;

the number of peacekeeping-related litigation cases handled by the Office of Human Resources Management and the Office of Legal Affairs is increasing.

11. The Committee observes that, for the most part, the budget submission does not contain workload statistics in support of the proposed staffing structure. Moreover, the mere provision of raw data without a qualitative analysis is of minimum utility. The Secretariat should develop an appropriate format for the systematic presentation of a meaningful workload analysis. The Committee was provided, upon request, with indicative workload statistics for 1996 for the Peacekeeping Financing Division (see annex I), which show that a very substantial part of the workload relates to administrative matters (954 reports), such as issuance of allotments, staffing table authorizations, etc., compared to issuance of financial reports (108) and legislative reports (68); moreover, as indicated in the footnote to the statistics, following implementation on 1 April 1996 of the finance module (release 3) of the Integrated Management Information System (IMIS) a total of 5,546 IMIS actions were required to issue 250 allotments. The Committee is concerned about what it considers to be an excessive number of administrative actions that need to be taken in respect of peacekeeping operations, especially given the fact that the implementation of IMIS should have had the effect of reducing them.

12. The Committee also notes from paragraph 38 of the report that work on improving the reporting facility of IMIS is proceeding, and has resulted in a near-term surge in workload. The Committee recommends that the necessary measures be taken expeditiously to overcome the surge in workload related to the implementation at Headquarters effective 1 April 1996 of the finance module of IMIS; furthermore, administrative actions required in the backstopping of peacekeeping operations should be significantly streamlined and made much more efficient. The Committee is of the view that the successful implementation of these recommendations should significantly contribute to resolving the problems identified in paragraphs 32 and 33 of the report of the Secretary-General.

13. Taking into account the trend in peacekeeping activities of the Organization (for example, the total budget requirements for peacekeeping operations are estimated at $840 million for the period from 1 July 1997 to 30 June 1998 (see A/C.5/51/48), compared to $1,300 million for the current period, i.e., a reduction of more than 35 per cent), the Committee is of the view that the requirements of General Assembly resolution 50/221 B have not been met and that the proposed level of all resource requirements for the backstopping of peacekeeping operations should have been justified on the basis of consistently applied criteria. The Committee has borne this in mind in its analysis of the 20 additional posts (19 net) requested by the Secretary-General. The Committee recalls that in paragraph 18 of its previous report (A/50/897) it concluded that the "bottom-up" review conducted by the Secretariat at that time had a number of shortcomings. The Committee recalls and reiterates its request that requirements for the support account should be justified not only on the basis of workload and operational needs but also in the context of the totality of resources available to the particular unit or programme to which such resources belong (see A/50/897, para. 23).

14. Part of the justification that could have been provided in the Secretary-General's report relates to the backlog of administrative tasks which has

accrued over the years. It would appear that this backlog accounts for much of the work that requires staff levels to be maintained despite decreases in budget levels for current peacekeeping operations. The Committee recommends that the Secretary-General analyse this backlog and relate it to staff needs (on the basis of a schedule for elimination of the backlog) in the context of the next report on the support account.

15. The Committee understands that in a number of cases the backstopping provided for operations and missions funded from the regular budget, such as the United Nations Verification Mission in Guatemala and the International Civilian Mission to Haiti, is provided by personnel funded from the support account. The Committee was informed, upon inquiry, that backstopping requirements for such missions are subsumed within the overall resources, in particular the regular budget resources available to the Department of Peacekeeping Operations and, in varying degrees, under the Department of Political Affairs and the Department of Administration and Management. This affects the workload in all offices and units involved in the backstopping of peacekeeping operations even if it is not reflected in the support account submission. This additional workload, as well as workload related to preparing for missions which have not yet been and may never be carried out, could help to justify the maintenance of resource requirements in certain units in spite of the decline in expenditure for peacekeeping operations and should be fully explained. Consistent with General Assembly resolution 50/221 B, the next report on the support account should also fully disclose and differentiate the impact on the support account of workload related to the backstopping of ongoing and completed missions, or being liquidated, those funded from all sources, as well as those which have not yet started.

16. The Committee was provided, on request, with a summary of the functions performed by gratis personnel in the Department of Peacekeeping Operations. The Committee observes that some functions involve responsibilities, such as overseeing the production of the Department's daily reports and producing the weekly reports to the Secretary-General; monitoring and reporting on political developments; monitoring the development of security situations around the world; gathering and disseminating information relevant to United Nations missions; and negotiating with troop-contributing countries regarding the basis of claims for reimbursement. (For the observations and recommendations of the Committee on the use of gratis personnel, see A/51/813.)

17. The Committee was informed of the following other functions assigned to gratis personnel in the Department of Peacekeeping Operations: coordinating responses (between Headquarters and missions) to internal and external audit reports on peacekeeping operations; discussing issues with auditors and drafting responses to audit reports; drafting a guidance manual for all aspects of the work of the Finance Management and Support Service; reviewing procedures and work flow within the Claims Unit; and identifying weaknesses and recommending improvements. The Committee points out that those functions should rather be assigned to regular staff members within the Finance Management and Support Service, contributing to a better understanding on their part of problems reflected in the audit reports and to building an institutional memory within the Service.

Support account post requirements

Department of Peacekeeping Operations

18. No change has been proposed in the personnel structure of the Situation Centre of the Department of Peacekeeping Operations. The Committee is of the view, however, that the time has come to evaluate the operation of the Centre and its resource requirements, with a view to determining its cost-effectiveness. The Committee recommends that the results of the evaluation be reflected in the next budget submission of the Secretary-General on the support account.

19. The Committee is not convinced of the need for an additional General Service post in the Executive Office of the Department. In the opinion of the Committee, functions referred to in annex I, paragraph 15, of the report (A/51/890) should be reassigned among the existing three Professional and four General Service staff.

20. The Committee has no objection to the proposed redeployment of a P-5 post within the Office of Operations of the Department.

21. With regard to the proposed establishment of three posts (1 P-4, 1 P-2/1 and 1 General Service/Other level for the Lessons Learned Unit, which are currently funded from the lessons-learned trust fund, the Committee was informed that it is the intention of the donor not to continue the current arrangement with regard to the financing of four posts (2 P-4, 1 P-2/1 and 1 General Service/Other level) from the trust fund after 31 December 1997. The Committee was informed that some functions performed by the Lessons Learned Unit are in fact of a public relations nature. The Committee recognizes the value to United Nations operations of conducting lessons learned reviews, but believes that all organizational units involved in the backstopping of peacekeeping operations should have a lessons learning function as their permanent responsibility. Therefore, the Committee does not recommend the establishment of the three new posts. This recommendation is not a reflection upon the usefulness of the Lessons Learned Unit, the importance of which was highlighted in paragraph 50 of the report of the Special Committee on Peacekeeping Operations (A/51/130 and Corr.1), which was endorsed by the General Assembly in its resolution 51/136.

22. Three additional support account posts (2 P-4 and 1 General Service/Other level) are proposed for the Finance Management and Support Service of the Field Administration and Logistics Division and, at the same time, one P-2 post is proposed for redeployment from that Service to the Personnel Management and Support Service. As reflected in annex I, paragraph 35, of the report, one of the two new P-4 posts would be responsible for coordination of and follow-up on the implementation of issues raised by the internal and external auditors. The Committee believes that those functions, as necessary, should be among the responsibilities of all personnel involved in the backstopping of peacekeeping operations and therefore does not recommend the establishment of that P-4 post. On the other hand, the Committee supports the proposal to establish one P-4 post and one General Service/Other level post for the Claims and Information Management Section in order to increase the capacity of the Organization to process various types of claims, ranging from reimbursement for contingent-owned

equipment to third-party claims and contingent death and disability claims, including the current backlog of claims. The Committee stresses the need for the claims function to be carried out by staff members, rather than loaned personnel (see para. 17 above).

23. With regard to the proposed redeployment of a P-2 post to the Personnel Management and Support Service, the Committee was informed that the proposal reflects an internal redeployment which followed the delegation of authority from the Office of Human Resources Management to the Field Administration and Logistics Division in 1996; this required prioritization of the immediate needs in the recruitment area which is expected to be concluded by mid-1998. The post is being used by the Service to establish policies and procedures for the implementation of the further delegation of authority to the field missions for the administration of personnel entitlements. The Committee has no objection to the proposed redeployment but it requests that the continued need for this post after mid-1998 be justified in the budget submission for the period from 1 July 1998 to 30 June 1999.

24. Five additional support account posts (1 P-4, 3 P-3 and 1 General Service/Other level) are proposed for the Logistics and Communications Service for the development and implementation of an assets management system, including creation of the appropriate database, and for contracts administration and management. The Committee recalls that it made a number of recommendations in the recent past and still continues to address the question of assets management in peacekeeping operations; the Committee's most recent observations and recommendations on the question are contained in its report on United Nations operations in the former Yugoslavia (A/51/872). Furthermore, the Board of Auditors and the Office of Internal Oversight Services have covered this question extensively in their reports. The current report of the Secretary-General (A/51/890) on the support account does not address the issue of implementation by the Secretariat of the observations, comments and recommendations of the Advisory Committee, the Board of Auditors and the Office of Internal Oversight Services on assets management in peacekeeping operations. In the view of the Committee, this exercise should be completed before any consideration of requests for additional resources in this area. Therefore, the Committee does not at this stage support the proposal to establish five new support account posts for the Logistics and Communications Service of the Field Administration and Logistics Division. The Committee was provided, upon request, with information on the implementation of an assets management system (see annex II); it shows that the Field Administration and Logistics Division is currently preparing a detailed report on this subject for submission to the General Assembly. The Committee believes that any changes to the current staffing establishment of the Logistics and Communications Service should be reviewed in the context of consideration of the report to be submitted to the Assembly.

Department of Administration and Management

25. The proposed support account requirements for the Department of Administration and Management for the period from 1 July 1997 to 30 June 1998 provide for the establishment of six new posts, as follows: one post (General

Service/Other level) for the Contributions Section, four posts (1 P-4, 1 P-3 and 2 General Service/Other level) for the Accounts Division and one post (P-4) for the Office of Human Resources Management.

26. With regard to the proposed General Service post for the Contributions Section, the Committee observes that some relevant workload statistics are provided in annex I, paragraph 57, of the report: workload related to peacekeeping operations has not increased significantly. For example, the number of assessments issued in 1996 (37), although slightly higher than in 1995 (36), is still much lower than in 1994 (44); the number of receipts for peacekeeping operations issued in 1996 (2,117) is just 1.7 per cent higher than in 1995 (2,081). The Committee points out that, as can be seen from the statistics provided, the workload related to the regular budget has obviously increased, for instance the number of receipts issued for the regular budget in 1996 (290) was 5.4 per cent higher than in 1995 (275). Under the circumstances, the Committee questions why the regular budget posts in the Contributions Section will be reduced by one General Service post for the biennium 1998-1999. The Committee does not support this prima facie transfer from the regular budget to the support account.

27. The Committee notes that all the posts of the Peacekeeping Financing Division of the Office of Programme Planning, Budget and Accounts are funded from the support account and none from the regular budget. The Committee reiterates its view that, taking into consideration the functions performed by the Division, this situation should be reviewed.

28. Out of four additional support account posts proposed for the Accounts Division, three posts (1 P-4, 1 P-3 and 1 General Service/Other level) are for the Operational Accounts Section and one General Service post is for the Payroll Section. Annex I, paragraph 72, to the Secretary-General's report provides workload statistics relevant to the proposal. In the view of the Committee, these statistics show only a moderate growth in the workload of both sections. At the same time the Committee notes from annex I, table 21, that the regular budget staffing for the biennium 1998-1999 provides for a decrease of 10 posts. In view of this, the Committee does not see the rationale for increasing the number of posts funded from the support account, while the Secretariat anticipates that the need for the regular budget posts in the Division will diminish. Therefore, the Committee does not support the proposal on the establishment of additional support account posts for the Accounts Division.

29. The staffing proposal for the Office of Human Resources Management provides for the establishment of a new P-4 post for the Administrative Law Unit to provide for assistance in the processing of appeals and disciplinary cases. Justification for the proposal, including some workload statistics, is set out in annex I, paragraphs 83 and 84, of the report; the Committee notes that there are no support account posts currently allocated to the Administrative Law Unit. It is the view of the Committee that functions performed by staff of the Unit contribute significantly to the institutional memory of the Organization and should not be, as such, assigned to support account staff. The Committee also notes from annex I, table 22, that the regular budget staffing table for the biennium 1998-1999 for the Specialist Services Division, which comprises the Administrative Law Unit, provides for a decrease of four Professional posts. In

view of the above, the Committee does not support the proposal for the establishment of a P-4 support account post for the Administrative Law Unit. The Committee will resume its review of the staffing requirements of the Unit when it considers the Secretary-General's proposed regular budget for the biennium 1998-1999.

30. Although no changes are proposed to the support account staffing of the Procurement and Transportation Division, the Committee notes from annex I, table 26, that the regular budget posts of the Division are expected to be reduced from 95 to 84, while the number of gratis personnel will be increased from 4 to 5. The Committee recalls that the General Assembly has not yet concluded its consideration of the procurement reforms. As far as gratis personnel is concerned, the Committee trusts that the need for these personnel in the Division will be reviewed by the Secretary-General in the light of such observations and recommendations of the Committee on gratis personnel (see A/51/813) as may be approved by the General Assembly.

31. In annex I, paragraph 98, of his report the Secretary-General proposes to continue the current number of support account posts allocated to the secretariat of the Advisory Committee. These include one P-4 and one General Service (Other level) post which were created under the support account in 1996. At the time, the Committee did not interpose an objection to the funding of those posts under the support account but would have preferred their placement under the regular budget for the biennium 1996-1997. The General Assembly, in section I of its resolution 46/185 C, invited the Secretary-General to keep under review the method of financing of posts in the Secretariat of the Advisory Committee that were not part of the regular budget. The Committee is a subsidiary body of the General Assembly and the functions it performs on behalf of the Assembly are core functions of the Organization. Bearing this in mind, the Committee believes that the funding of those posts should be reviewed in the context of the consideration of the proposed programme budget for the biennium 1998-1999.

Executive Office of the Secretary-General

32. The Committee supports the Secretary-General's proposal to abolish one post (Under-Secretary-General) in his Executive Office.

Office of Legal Affairs

33. The establishment of two posts (1 P-5 and 1 P-4) is proposed for the General Legal Division of the Office of Legal Affairs. The Committee was not convinced by the justification for the proposal (annex I, paras. 101-106), since information provided to the Committee shows a decline in workload since 1994. The Committee, therefore, does not recommend the establishment of those posts.

Support account non-post requirements

34. The estimated non-post requirements of the Department of Peacekeeping Operations, which total $3,140,300 for the period from 1 July 1997 to 30 June 1998, are explained in annex I to the report, paragraphs 40 to 53. The Committee observes that these requirements represent a sharp increase compared to the apportioned non-post requirements for the Department for the current period ($535,800). The Committee understands that the increase is attributable, to a large extent, to changes in the cost apportionment; for example, while the Department's requirements for rental of premises are estimated at $1,150,300, these are currently budgeted under the Department of Administration and Management; furthermore, while the budgeted provisions for rental of office equipment, communications, and office supplies and materials remain unapportioned under the support account for the current period, these are reflected as part of the proposed estimated requirements of the Department for Peacekeeping Operations for the period from 1 July 1997 to 30 June 1998. While the Committee does not recommend a reduction at this time, it is nevertheless concerned about the substantial growth in non-post requirements of the Department of Peacekeeping Operations, especially under "Consultants" ($60,000 - all growth), "Overtime" (41 per cent growth), "Travel" (60 per cent growth) and "Communications" (93 per cent growth to unapportioned overall current provision) and expects that expenditures in these areas will be closely monitored.

35. Information on non-post requirements of the Department of Administration and Management, the Executive Office of the Secretary-General, the Office of Legal Affairs and the Office of Internal Oversight Services is set out in annex I, paragraphs 110 to 118, of the Secretary-General's report. The Committee is not satisfied with the way the estimated non-post requirements are justified and explained in the budget submission. Although it does not recommend any reduction in non-post requirements under the support account at this time, the Committee trusts that such requirements will be presented and justified in future submissions in relation to the specific responsibilities of various offices involved in the backstopping of peacekeeping operations.

Other

36. After examining the information on the various trust funds given in annex III to the Secretary-General's report, the Committee is of the view that there is a need to follow a clear, consistent and uniform policy on remuneration of staff paid through the trust funds operated by the United Nations. The Committee notes that while the trust fund for the rapidly deployable mission headquarters was established on 28 February 1997 and contributions totalling $304,389.76 have been received, no provision has been made for posts during the period from July 1997 to June 1998. It was informed that a separate report of the Secretary-General to the General Assembly is being prepared on the subject.

Recommendation

37. The observations and recommendations of the Advisory Committee set out above would entail not approving 17 of the net additional 19 posts requested by the Secretary-General for 1997-1998 under the support account. The consequent reduction in the estimates would be $2,139,000.

Annex I

PEACEKEEPING FINANCING DIVISION, OFFICE OF PROGRAMME PLANNING, BUDGET AND ACCOUNTS

Indicative workload statistics for 1996

	Financing reports				Legislative reports			Administrative matters				
	Security Council	General Assembly	ACABQ	Total reports	Fifth Committee	General Assembly	Total reports	Allot-ments	Staffing table authori-zations	Letters to Govern-ments	Initiate payment to Govern-ments	Total reports
Peacekeeping operations												
UNFICYP	2	1	1	4	1	1	2	7	2	20	3	32
UNDOF	0	1	0	1	0	1	1	2	1	9	22	34
UNIFIL	2	2	0	4	0	1	1	6	3	54	42	105
UNAVEM	3	4	8	15	2	2	4	16	2	76	7	101
UNIKOM	2	1	1	4	1	1	2	3	3	15	3	24
GULF (Security Council res. 687)	0	0	0	0	0	0	0	13	2	0	0	15
MINURSO	2	2	5	9	3	4	7	14	4	6	6	30
ONUSAL	0	0	0	0	0	0	0	0	0	0	0	0
UNTAC	0	0	0	0	0	0	0	0	0	0	0	0
UNPF	0	6	2	8	6	6	12	0	0	0	0	0
UNTAES	3	3	0	6	2	2	4	21	3	1	9	46
UNMIBH/UNMOP	0	5	0	5	3	3	6	13	2	0	7	22
UNPREDEP	1	4	0	5	2	2	4	11	2	10	8	31
UNOSOM II	0	0	0	0	0	0	0	0	0	20	25	45
ONUMOZ	0	0	0	0	0	0	0	1	7	18	14	40
UNAMIR	2	3	2	7	1	1	2	8	7	41	47	103
UNOMIG	2	3	1	6	2	2	4	13	2	0	0	15
UNOMIL	6	4	2	12	3	2	5	18	7	0	1	26
UNMIH/UNSMIH	3	5	4	12	4	6	10	31	8	112	32	183
UNMOT	1	1	3	5	1	1	2	7	3	0	0	10
United Nations Logistics Base	0	2	0	2	1	1	2	4	1	0	0	5

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	Financing reports				Legislative reports			Administrative matters				
	Security Council	General Assembly	ACABQ	**Total reports**	Fifth Committee	General Assembly	**Total reports**	Allot-ments	Staffing table authori-zations	Letters to Govern-ments	Initiate payment to Govern-ments	**Total reports**
Administrative and budgetary aspects of financing peacekeeping operations												
Support account	0	1	0	**1**	0	0	**0**	43	20	0	0	**63**
Peacekeeping Reserve Fund	0	0	0	**0**	0	0	**0**	0	0	0	0	**0**
Rates of reimbursement	0	0	0	**0**	0	0	**0**	0	0	0	0	**0**
Trust funds (general peacekeeping matters)												
Related to administrative and budgetary aspects	0	0	0	**0**	0	0	**0**	0	0	0	0	**0**
For lessons learned mechanism	0	0	0	**0**	0	0	**0**	10	5	0	0	**15**
For assistance to military officers	0	0	0	**0**	0	0	**0**	8	0	0	0	**8**
For the financing of a conference on medical support	0	0	0	**0**	0	0	**0**	1	0	0	0	**1**
For improving preparedness for conflict prevention	0	0	0	**0**	0	0	**0**	0	0	0	0	**0**
In support of peacemaking and peacekeeping	0	0	0	**0**	0	0	**0**	0	0	0	0	**0**
TOTALS	29	50	29	**108**	32	36	**68**	250*	84	194	226	**954**

* Following implementation of IMIS finance module (release 3) on 1 April 1996, a total of 5,546 IMIS actions were required to issue these 250 allotments.

Annex II

IMPLEMENTATION OF AN ASSETS MANAGEMENT SYSTEM

1. In consideration of the need to establish a control mechanism for accounting for the global asset inventory of the United Nations and after the conduct of a thorough review of business processes by the Material Management Project in the Field Administration and Logistics Division, the Department of Peacekeeping Operations has developed a Field Assets Control System that provides an auditable chain of accountability from initial procurement to final disposal. This automated system for the control and management of field assets fulfils the information requirements and matches the proscribed heretofore manual work processes of the United Nations, the department, its field missions and other supported programmes and offices. The System will allow Headquarters visibility of the more than 120,000 unique line items of United Nations owned non-expendable property currently in use.

2. In accordance with the concerns of the Office of Internal Oversight Services (see A/51/803), the Field Administration and Logistics Division is concentrating its efforts on the implementation of a system to codify and manage non-expendable property first. The Division has revisited the issue of the item numbering or codification system to be used for field assets and has taken into account the views expressed by Member States (see A/50/985). The Field Assets Control System will use United Nations common codification system numbers extended by the IMIS organizational unit code and by additional non-significant numbers (controlled by the Division) for the codification of field assets.

3. To date, the development of the Field Assets Control System has been completed using existing Secretariat resources. The Division proposes to use the existing communications network and electronic data transfer capabilities of the Department of Peacekeeping Operations with some enhancement, to implement the System. The development of the groupware application modules of the System is being completed in-house by computer programmers of the Communications and Electronic Services Section of the Division, supported by Division staff with field mission experience. Work has progressed to the point where system testing is currently being conducted on a mock-up simulation of the Division's and the field missions' computer system located in New York.

4. The Division plans to deploy the entire system to the United Nations Logistics Base in June 1997 and to conduct field testing and performance verification between the Division and the Base over a one- to two-month period. Installation of the Field Assets Control System in field missions will commence after performance verification has been completed and it is envisaged that the system will be installed and operational in all missions by early 1998.

5. The Division is currently preparing a detailed report of the Secretary-General on this subject for submission to the General Assembly.

Annex III

ORGANIZATIONAL CHARTS, 1 JULY 1997-30 JUNE 1998 (PROPOSED)

I. Secretariat of the Advisory Committee on Administrative and Budgetary Questions

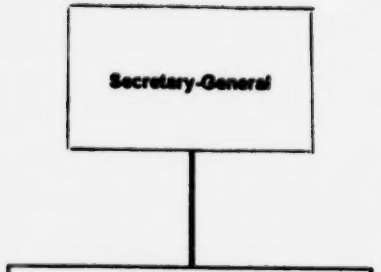
Secretariat of the ACABQ					
	RB	SA	Other	GMO	Total
USG	-	-	-	-	-
ASG	-	-	-	-	-
D-2	1	-	-	-	1
D-1	-	-	-	-	-
P-5	2	1	-	-	3
P-4	1	1	-	-	2
P-3	-	-	-	-	-
P-2/1	-	-	-	-	-
G(P)	1	-	-	-	1
G(O)	3	1	-	-	4
Total	8	3	-	-	11

RB : Regular budget

SA : Support account

GMO : Gratis military officers

II. Executive Office of the Secretary-General



Executive Office

	RB	SA	Other	GMO	Total
USG	1	-	-	-	1
ASG	1	-	-	-	1
D-2	5	1	-	-	6
D-1	4	-	-	-	4
P-5	4	1	-	-	5
P-4	6	-	-	-	6
P-3	5	-	-	-	5
P-2/1	3	-	-	-	3
G(P)	5	-	-	-	5
G(O)	41	1	-	-	42
SS	1	-	-	-	1
Total	75	3	-	-	78

III. Department of Peacekeeping Operations

A. Office of the Under-Secretary-General

Office of the Under-Secretary-General

	RB	SA	Other	GMO	Total
USG	1	-	-	-	1
ASG	-	-	-	-	-
D-2	-	-	-	-	-
D-1	-	1	-	-	1
P-5	-	1	-	-	1
P-4	-	-	-	-	-
P-3	-	1	-	-	1
P-2/1	1	-	-	-	1
G (P)	-	1	-	-	1
G (O)	1	5	-	-	6
Total	3	9	-	-	12

Office of the Military Adviser

	RB	SA	Other	GMO	Total
USG	-	-	-	-	-
ASG	-	-	-	-	-
D-2	1	-	-	-	1
D-1	-	1	-	-	1
P-5	1	-	-	1	2
P-4	-	-	-	2	2
P-3	-	-	-	4	4
P-2/1	-	-	-	1	1
G (P)	-	-	-	-	-
G (O)	1	2	-	5	8
Total	3	3	-	13	19

Situation Centre

	RB	SA	Other	GMO	Total
USG	-	-	-	-	-
ASG	-	-	-	-	-
D-2	-	-	-	-	-
D-1	-	1	-	-	1
P-5	-	1	-	-	1
P-4	-	2	-	6	8
P-3	-	5	-	8	13
P-2/1	-	-	-	-	-
G (P)	-	-	-	-	-
G (O)	-	2	-	2	4
Total	-	11	-	16	27

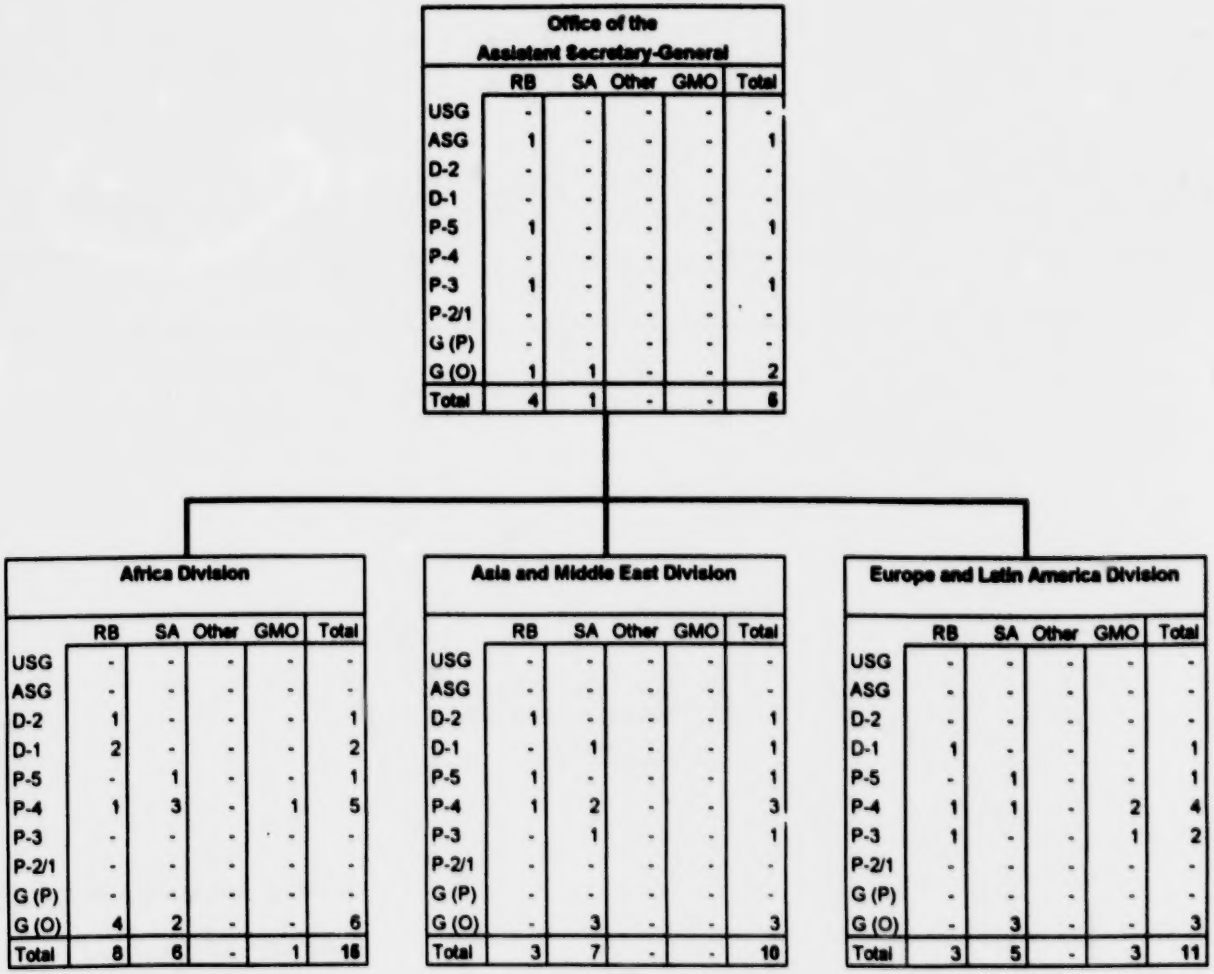
Policy and Analysis Unit

	RB	SA	Other	GMO	Total
USG	-	-	-	-	-
ASG	-	-	-	-	-
D-2	-	-	-	-	-
D-1	-	-	-	-	-
P-5	-	-	-	-	-
P-4	-	1	-	-	1
P-3	-	-	-	-	-
P-2/1	1	-	-	-	1
G (P)	-	-	-	-	-
G (O)	-	1	-	-	1
Total	1	2	-	-	3

Executive Office

	RB	SA	Other	GMO	Total
USG	-	-	-	-	-
ASG	-	-	-	-	-
D-2	-	-	-	-	-
D-1	-	-	-	-	-
P-5	-	1	-	-	1
P-4	1	1	-	-	2
P-3	-	1	-	-	1
P-2/1	-	-	-	-	-
G (P)	-	1	-	-	1
G (O)	-	4	-	-	4
Total	1	8	-	-	9

B. Office of Operations



Office of the Assistant Secretary-General

	RB	SA	Other	GMO	Total
USG	-	-	-	-	-
ASG	1	-	-	-	1
D-2	-	-	-	-	-
D-1	-	-	-	-	-
P-5	1	-	-	-	1
P-4	-	-	-	-	-
P-3	1	-	-	-	1
P-2/1	-	-	-	-	-
G (P)	-	-	-	-	-
G (O)	1	1	-	-	2
Total	4	1	-	-	6

Africa Division

	RB	SA	Other	GMO	Total
USG	-	-	-	-	-
ASG	-	-	-	-	-
D-2	1	-	-	-	1
D-1	2	-	-	-	2
P-5	-	1	-	-	1
P-4	1	3	-	1	5
P-3	-	-	-	-	-
P-2/1	-	-	-	-	-
G (P)	-	-	-	-	-
G (O)	4	2	-	-	6
Total	8	6	-	1	15

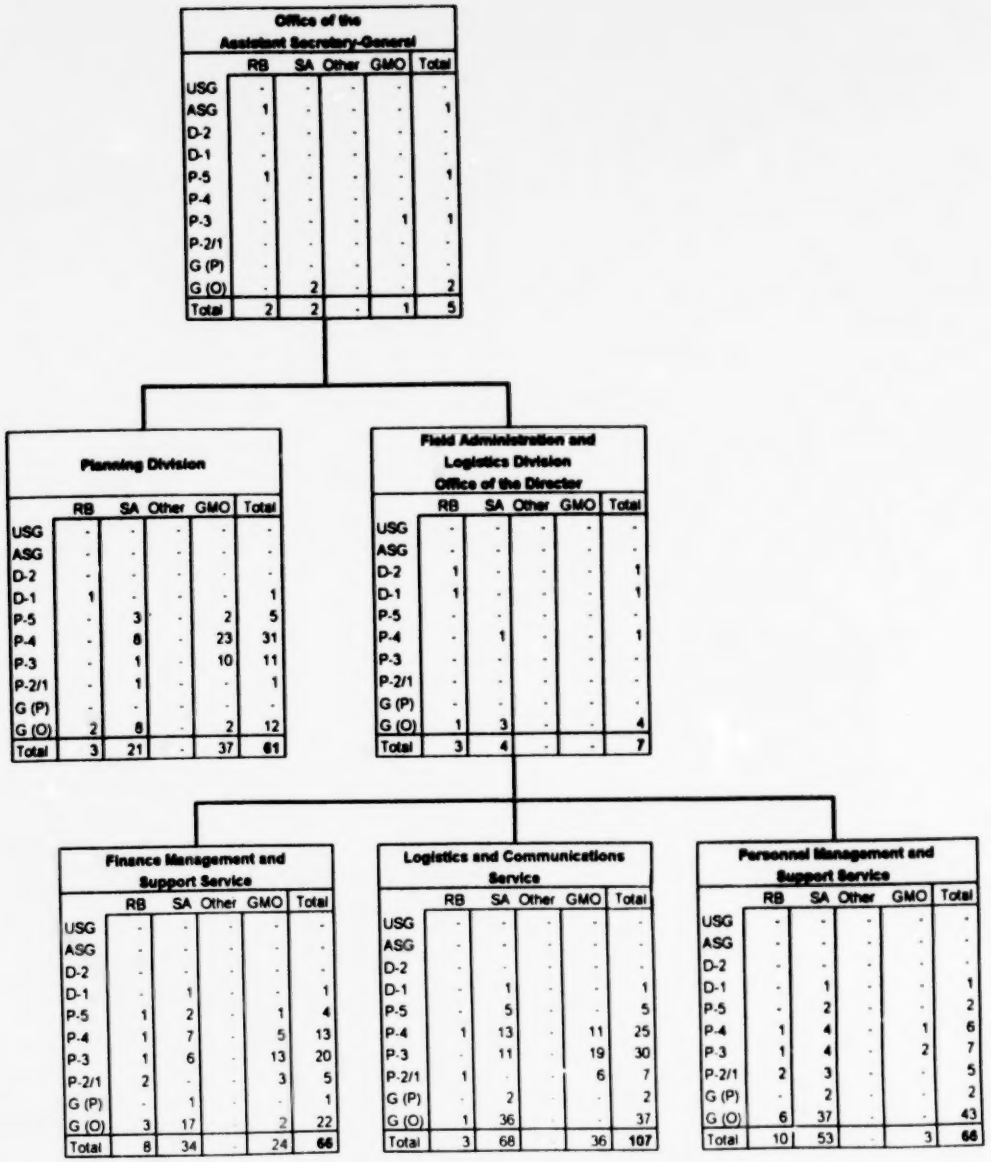
Asia and Middle East Division

	RB	SA	Other	GMO	Total
USG	-	-	-	-	-
ASG	-	-	-	-	-
D-2	1	-	-	-	1
D-1	-	1	-	-	1
P-5	1	-	-	-	1
P-4	1	2	-	-	3
P-3	-	1	-	-	1
P-2/1	-	-	-	-	-
G (P)	-	-	-	-	-
G (O)	-	3	-	-	3
Total	3	7	-	-	10

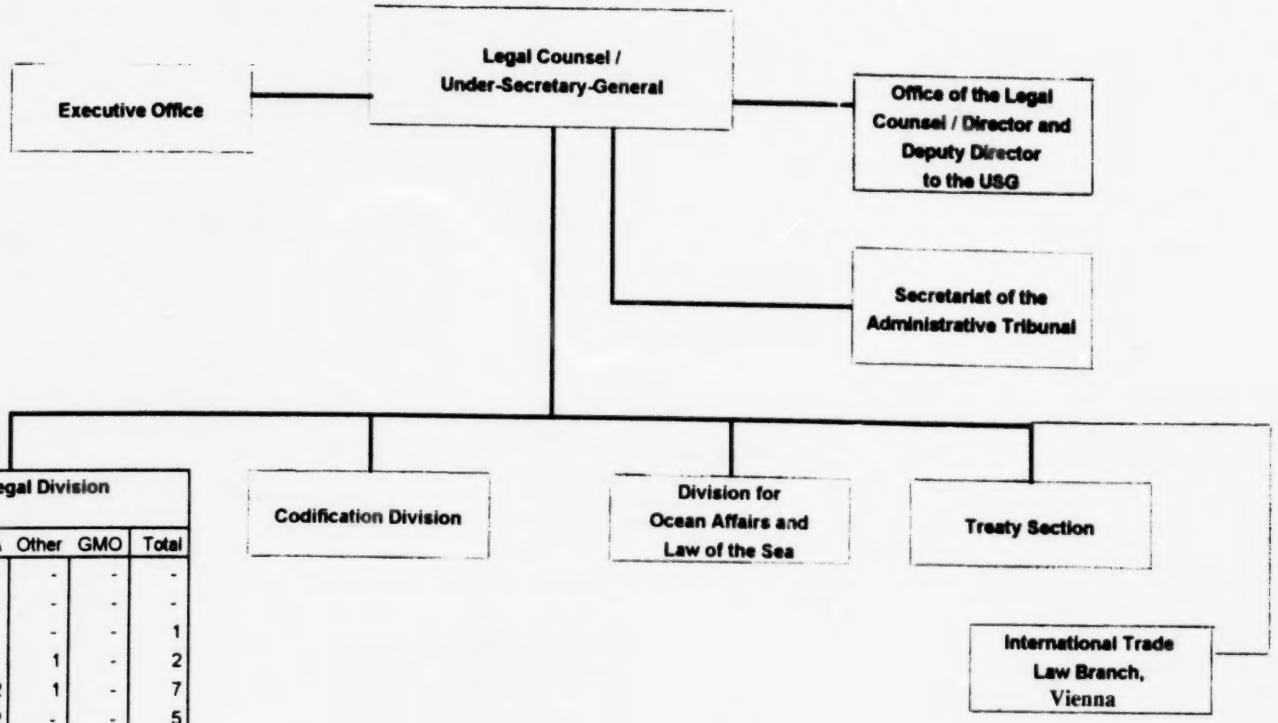
Europe and Latin America Division

	RB	SA	Other	GMO	Total
USG	-	-	-	-	-
ASG	-	-	-	-	-
D-2	-	-	-	-	-
D-1	1	-	-	-	1
P-5	-	1	-	-	1
P-4	1	1	-	2	4
P-3	1	-	-	1	2
P-2/1	-	-	-	-	-
G (P)	-	-	-	-	-
G (O)	-	3	-	-	3
Total	3	5	-	3	11

C. Office of Planning and Support


Office of the Assistant Secretary-General
RB SA Other GMO Total
USG - - - - -
ASG 1 - - - 1
D-2 - - - - -
D-1 - - - - -
P-5 1 - - - 1
P-4 - - - - -
P-3 - - - 1 1
P-2/1 - - - - -
G (P) - - - - -
G (O) - 2 - - 2
Total 2 2 - 1 5
Planning Division
RB SA Other GMO Total
USG - - - - -
ASG - - - - -
D-2 - - - - -
D-1 1 - - - 1
P-5 - 3 - 2 5
P-4 - 8 - 23 31
P-3 - 1 - 10 11
P-2/1 - 1 - - 1
G (P) - - - - -
G (O) 2 8 - 2 12
Total 3 21 - 37 61
Field Administration and Logistics Division Office of the Director
RB SA Other GMO Total
USG - - - - -
ASG - - - - -
D-2 1 - - - 1
D-1 1 - - - 1
P-5 - - - - -
P-4 - 1 - - 1
P-3 - - - - -
P-2/1 - - - - -
G (P) - - - - -
G (O) 1 3 - - 4
Total 3 4 - - 7
Finance Management and Support Service
RB SA Other GMO Total
USG - - - - -
ASG - - - - -
D-2 - - - - -
D-1 - 1 - - 1
P-5 1 2 - 1 4
P-4 1 7 - 5 13
P-3 1 6 - 13 20
P-2/1 2 - - 3 5
G (P) - 1 - - 1
G (O) 3 17 - 2 22
Total 8 34 - 24 66
Logistics and Communications Service
RB SA Other GMO Total
USG - - - - -
ASG - - - - -
D-2 - - - - -
D-1 - 1 - - 1
P-5 - 5 - - 5
P-4 1 13 - 11 25
P-3 - 11 - 19 30
P-2/1 1 - - 6 7
G (P) - 2 - - 2
G (O) 1 36 - - 37
Total 3 68 - 36 107
Personnel Management and Support Service
RB SA Other GMO Total
USG - - - - -
ASG - - - - -
D-2 - - - - -
D-1 - 1 - - 1
P-5 - 2 - - 2
P-4 1 4 - 1 6
P-3 1 4 - 2 7
P-2/1 2 3 - - 5
G (P) - 2 - - 2
G (O) 6 37 - - 43
Total 10 53 - 3 66

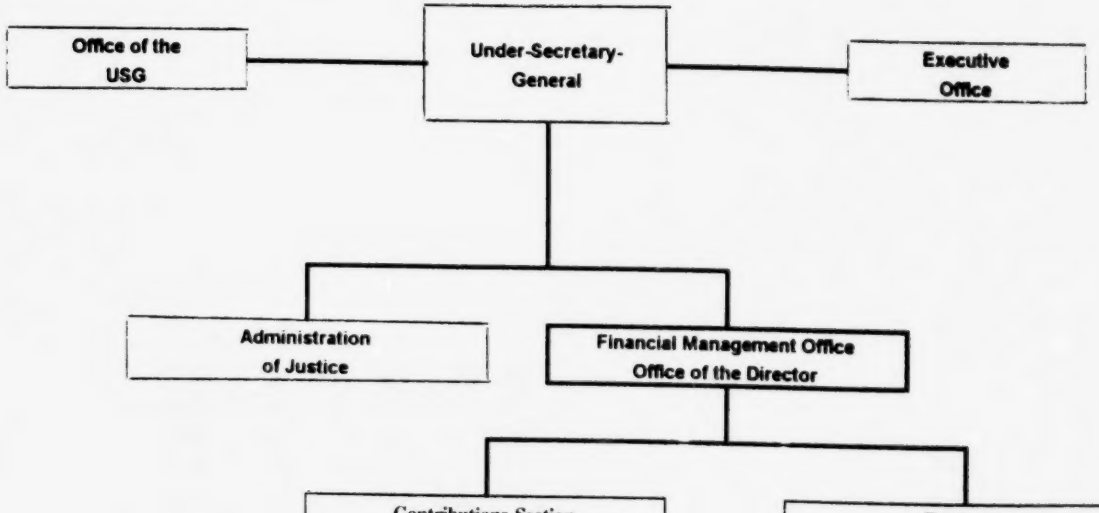
IV. Office of Legal Affairs


Executive Office
Legal Counsel / Under-Secretary-General
Office of the Legal Counsel / Director and Deputy Director to the USG
Secretariat of the Administrative Tribunal
General Legal Division
Codification Division
Division for Ocean Affairs and Law of the Sea
Treaty Section
International Trade Law Branch, Vienna

General Legal Division					
	RB	SA	Other	GMO	Total
USG	-	-	-	-	-
ASG	-	-	-	-	-
D-2	1	-	-	-	1
D-1	1	-	1	-	2
P-5	4	2	1	-	7
P-4	3	2	-	-	5
P-3	3	1	1	-	5
P-2/1	-	-	2	-	2
G (P)	-	-	-	-	-
G (O)	5	-	4	-	9
Total	17	5	9	-	**31**

V. Department of Administration and Management

A. Office of the Under-Secretary-General
Financial Management Office



Contributions Section

	RB	SA	Other	GMO	Total
USG	-	-	-	-	-
ASG	-	-	-	-	-
D-2	-	-	-	-	-
D-1	1	-	-	-	1
P-5	-	-	-	-	-
P-4	-	1	-	-	1
P-3	-	-	1	-	1
P-2/1	1	-	-	-	1
G (P)	-	-	-	-	-
G (O)	4	2	-	-	6
Total	6	3	1	-	10

Treasury

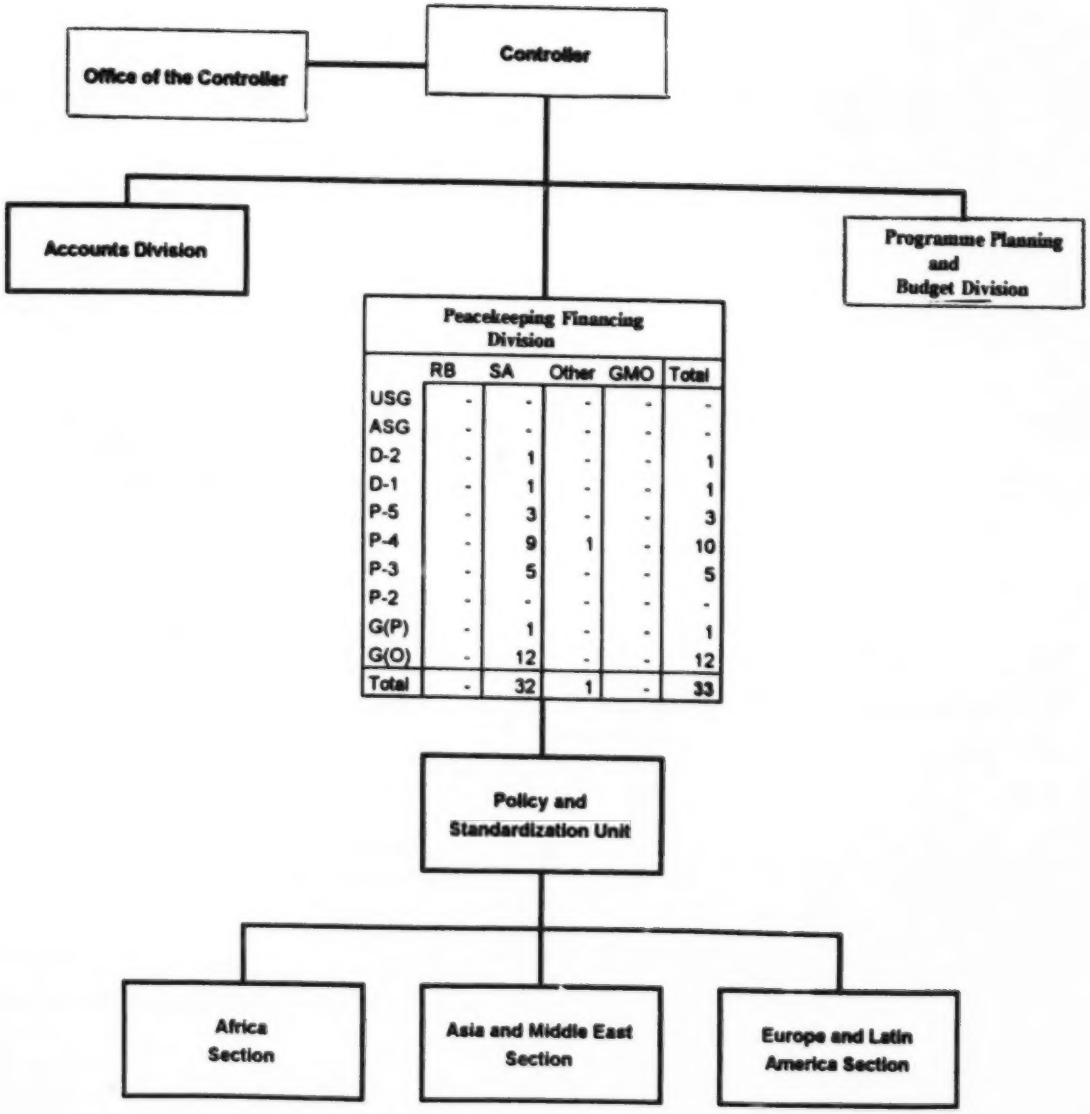
	RB	SA	Other	GMO	Total
USG	-	-	-	-	-
ASG	-	-	-	-	-
D-2	-	-	-	-	-
D-1	1	-	-	-	1
P-5	1	-	-	-	1
P-4	1	1	-	-	2
P-3	-	1	-	-	1
P-2/1	-	-	1	-	1
G (P)	-	-	-	-	-
G (O)	3	2	4	-	9
Total	6	4	5	-	15

B. Office of Programme Planning, Budget and Accounts

1. Accounts Division

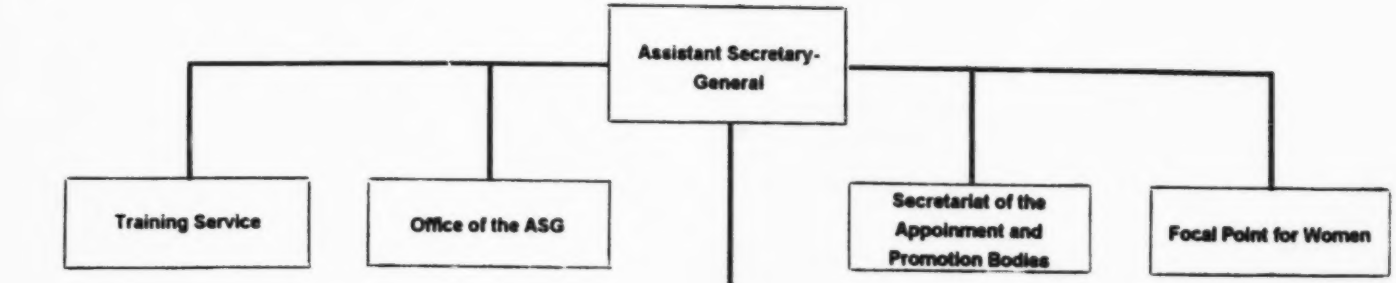
Accounts Division					
	RB	SA	Other	GMO	Total
USG	-	-	-	-	-
ASG	-	-	-	-	-
D-2	1	-	-	-	1
D-1	2	-	-	-	2
P-5	2	1	2	-	5
P-4	5	4	4	-	13
P-3	7	6	7	-	20
P-2/1	6	1	1	-	8
G(P)	6	-	7	-	13
G(O)	46	10	27	-	83
Total	75	22	46	-	146

2. Peacekeeping Financing Division



Peacekeeping Financing Division	RB	SA	Other	GMO	Total
USG	-	-	-	-	-
ASG	-	-	-	-	-
D-2	-	1	-	-	1
D-1	-	1	-	-	1
P-5	-	3	-	-	3
P-4	-	9	1	-	10
P-3	-	5	-	-	5
P-2	-	-	-	-	-
G(P)	-	1	-	-	1
G(O)	-	12	-	-	12
Total	-	32	1	-	33

C. Office of Human Resources Management



Planning and Development Service

Specialist Services Division

Administrative Law Unit

	RB	SA	Other	GMO	Total
USG	-	-	-	-	-
ASG	-	-	-	-	-
D-2	1	-	-	-	1
D-1	2	-	-	-	2
P-5	6	-	-	-	6
P-4	5	3	-	-	8
P-3	7	-	-	-	7
P-2/1	7	-	-	-	7
G (P)	3	-	-	-	3
G (O)	26	1	-	-	27
Total	57	4	-	-	61

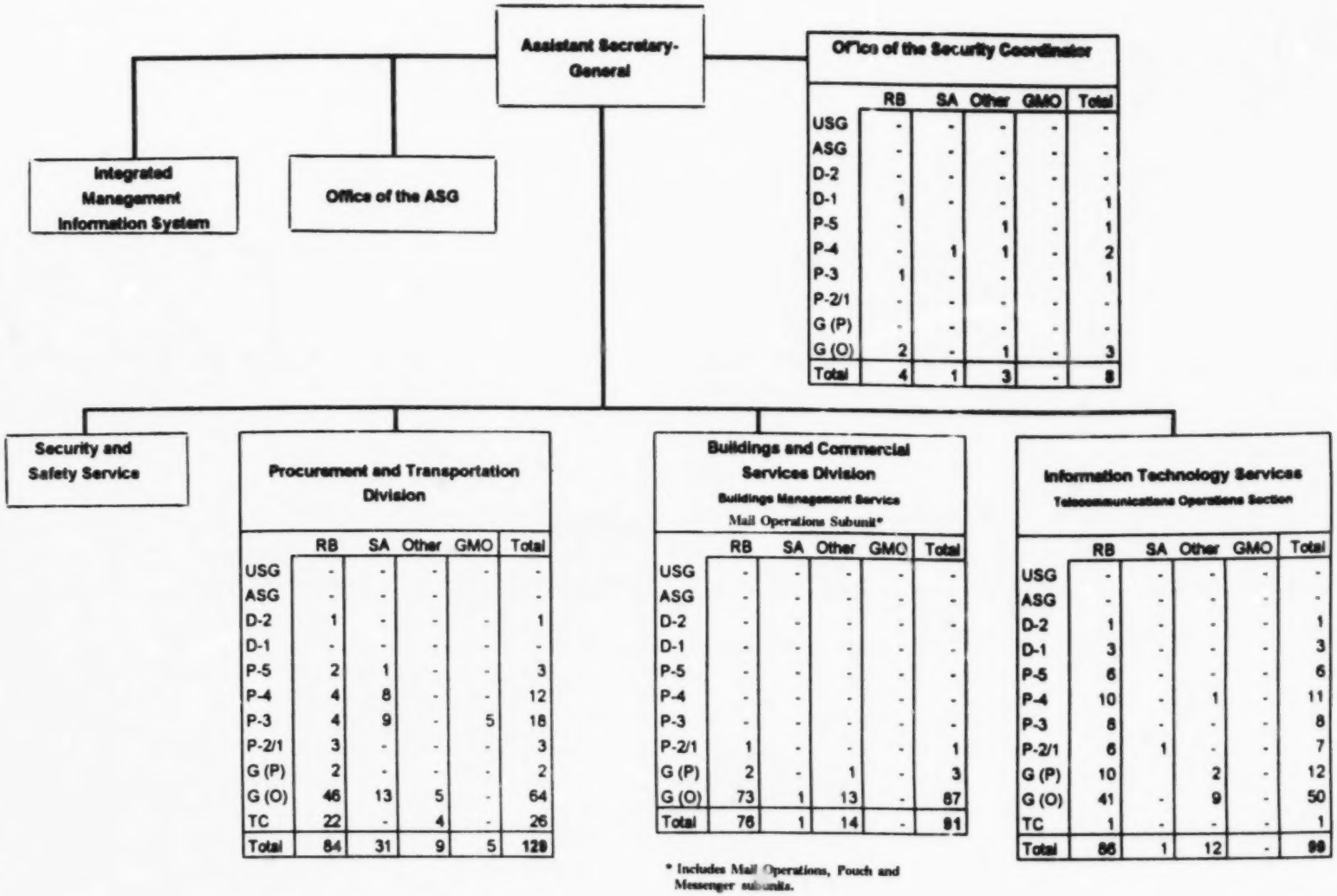
Medical Services Division

	RB	SA	Other	GMO	Total
USG	-	-	-	-	-
ASG	-	-	-	-	-
D-2	1	-	-	-	1
D-1	1	-	-	-	1
P-5	-	1	2	-	3
P-4	1	1	-	-	2
P-3	1	-	1	-	2
P-2/1	-	-	-	-	-
G (P)	2	-	3	-	5
G (O)	8	2	6	-	16
Total	14	4	12	-	30

Operational Services Division

	RB	SA	Other	GMO	Total
USG	-	-	-	-	-
ASG	-	-	-	-	-
D-2	1	-	-	-	1
D-1	2	-	-	-	2
P-5	7	-	-	-	7
P-4	9	1	-	-	10
P-3	7	1	2	-	10
P-2/1	3	-	-	-	3
G (P)	5	-	-	-	5
G (O)	38	3	-	-	41
Total	72	5	2	-	79

D. Office of Conference and Support Services



Office of the Security Coordinator

	RB	SA	Other	GMO	Total
USG	-	-	-	-	-
ASG	-	-	-	-	-
D-2	-	-	-	-	-
D-1	1	-	-	-	1
P-5	-		1	-	1
P-4	-	1	1	-	2
P-3	1	-	-	-	1
P-2/1	-	-	-	-	-
G (P)	-	-	-	-	-
G (O)	2	-	1	-	3
Total	4	1	3	-	8

Procurement and Transportation Division

	RB	SA	Other	GMO	Total
USG	-	-	-	-	-
ASG	-	-	-		-
D-2	1	-	-	-	1
D-1	-	-	-	-	-
P-5	2	1	-	-	3
P-4	4	8	-	-	12
P-3	4	9	-	5	18
P-2/1	3	-	-	-	3
G (P)	2	-	-	-	2
G (O)	46	13	5	-	64
TC	22	-	4	-	26
Total	84	31	9	5	129

Buildings and Commercial Services Division
Buildings Management Service
Mail Operations Subunit*

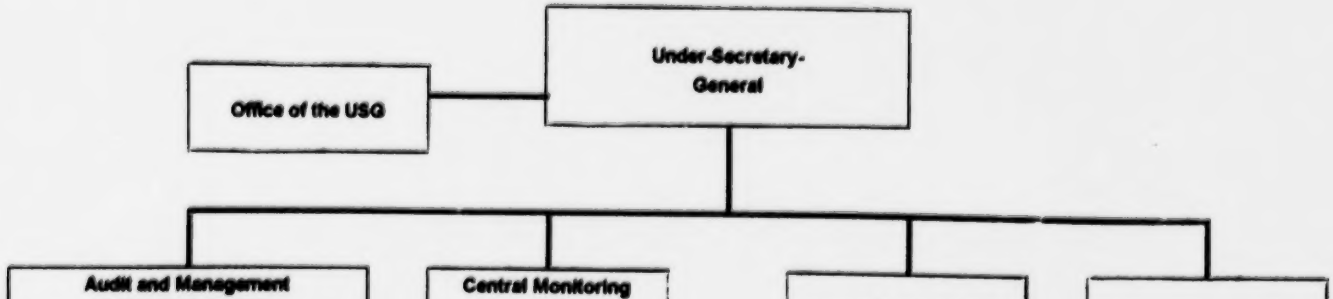
	RB	SA	Other	GMO	Total
USG	-	-	-	-	-
ASG	-	-	-	-	-
D-2	-	-	-	-	-
D-1	-	-	-	-	-
P-5	-	-	-	-	-
P-4	-	-	-	-	-
P-3	-	-	-	-	-
P-2/1	1	-	-	-	1
G (P)	2	-	1	-	3
G (O)	73	1	13	-	87
Total	76	1	14	-	91

* Includes Mail Operations, Pouch and Messenger subunits.

Information Technology Services
Telecommunications Operations Section

	RB	SA	Other	GMO	Total
USG	-	-	-	-	-
ASG	-	-	-	-	-
D-2	1	-	-	-	1
D-1	3	-	-	-	3
P-5	6	-	-	-	6
P-4	10	-	1	-	11
P-3	8	-	-	-	8
P-2/1	6	1	-	-	7
G (P)	10		2	-	12
G (O)	41	-	9	-	50
TC	1	-	-	-	1
Total	86	1	12	-	99

VI. Office of Internal Oversight Services



	RB	SA	Other	GMO	Total
USG	1	-	-	-	1
ASG	-	-	-	-	-
D-2	1	-	-	-	1
D-1	4	-	-	-	4
P-5	12	1	1	-	14
P-4	17	6	9	1	33
P-3	13	3	4	-	20
P-2/1	8	-	1	-	9
G (P)	9	-	1	-	10
G (O)	17	1	7	-	25
Total	82	11	23	1	117